

United States Department of Agriculture  
Animal and Plant Health Inspection Service  
4700 River Road  
Riverdale, MD 20737

## Permit to Move Live Plant Pests, Noxious Weeds, and Soil

Importation  
Regulated by 7 CFR 330

This permit was generated electronically via the ePermits system

<b>PERMITTEE NAME:</b>	Dr. Mark Hoddle	<b>PERMIT NUMBER:</b>	P526P-17-04670
<b>ORGANIZATION:</b>	University of California	<b>APPLICATION NUMBER:</b>	P526-171116-011
<b>ADDRESS:</b>	Department of Entomology University of California Riverside, CA 92521	<b>FACILITY NUMBER:</b>	93
<b>MAILING ADDRESS:</b>	Department of Entomology University of California Riverside, CA 92521	<b>HAND CARRY:</b>	Yes
<b>PHONE:</b>	951-827-4714	<b>DATE ISSUED:</b>	12/29/2017
<b>FAX:</b>	951-827-3086	<b>EXPIRES:</b>	<b>12/29/2020</b>
<b>DESTINATION:</b>	Department of Entomology, Quarantine Facility, 3401 Watkins Drive, University of California, Riverside, CA 92521		
<b>DESIGNATED PORTS:</b>	AZ, Nogales; CA, El Segundo; CA, San Diego; TX, Humble		

Under the conditions specified, this permit authorizes the following:

**Article Category:** BioControl Organisms - Exploration/Investigation; Invertebrate Pests - Insects

<u>Regulated Article</u>	<u>Life Stage(s)</u>	<u>Intended Use</u>	<u>Shipment Origins</u>	<u>Originally Collected</u>	<u>Culture Designation</u>
Agrilus coxalis	Adult, Egg, Larvae, Research - Lab Pupae	Mexico, Guatemala	Originally Collected from Foreign Locations		
Unidentified Hymenoptera	Adult, Egg, Larvae, Research - Lab Pupae	Mexico, Guatemala	Originally Collected from Foreign Locations		

### SPECIAL INSTRUCTIONS TO INSPECTORS

See permit conditions below

#### INSTRUCTIONS TO DHS CBP INSPECTORS:

1. Confirm that the carrier of the shipment imported under this USDA PPQ 526 permit is commercially bonded. For all hand carry events, go to step 6.
2. Confirm that the imported shipment has a valid USDA PPQ Form 599 Red/White label attached to the exterior for routing to a USDA APHIS PPQ Inspection Station. (A valid label will have the permit number, expiration date, label number, and address of a USDA APHIS PPQ Plant Inspection Station)

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PPQ HEADQUARTER OFFICIAL VIA EPERMITS.

**Robert Pfannenstiel**

DATE

**12/29/2017**

WARNING: Any alteration, forgery or unauthorized use of this Federal Form is subject to civil penalties of up to \$250,000 (7 U.S.C.s 7734(b)) or punishable by a fine of not more than \$10,000, or imprisonment of not more than 5 years, or both (18 U.S.C.s 1001)

3. Validate the permit in ePermits using the CBP search feature.
4. If a valid PPQ Form 599 Red/White label is not attached to the exterior of the package or the label has been covered or is otherwise not legible, then forward to the nearest USDA APHIS PPQ Plant Inspection Station.
5. If the address on the airway bill does not match the address on the PPQ Form 599 Red/White label then forward the package to the nearest USDA APHIS PPQ Plant Inspection Station. (All costs associated with rerouting misaddressed packages will be assumed by the permit holder.)
6. For all hand carry events, the port of entry must have received prior notice by e-mail, fax, or telephone of the arrival of the passenger including date of arrival and flight information from Headquarters, OFO, and APL. This notice communicates specific instructions to the port of entry.
7. For all hand carry events, a valid red and white PPQ Form 599 Red/White label specifying hand carry must be presented, or have been made available to CBP by USDA via email. A single PPQ Form 599 Red/White label specifying hand carry may be used for multiple specimens covered under one permit at border crossings.
8. If the permitted shipment arrives by hand carrying, in personal luggage, or by personal automobile without a hand carry authorization as specified in both the permit and the PPQ Form 599 Red/White label, seize the shipment and forward it to the nearest USDA APHIS PPQ Plant Inspection Station.
9. For questions or concerns, contact the USDA APHIS PPQ Permit Unit in Riverdale, MD, at 866-524-5421.

#### INSTRUCTIONS TO USDA PPQ INSPECTORS AT PLANT INSPECTION STATIONS:

If any of the following are not met, then do not clear the shipment. Contact the headquarters Permit Unit at 866/524-5421 (before 4:00 PM EST/EDST) or 301/768-1346 (after 4:00 PM EST/EDST) to determine the subsequent action.

After looking up the permit using the barcode or Permit number on the red and white label, do the following:

1. Confirm that the permit has not expired (expiration date also on the red and white label).
2. Confirm that the package contents listed on the permit coincide with the manifest.
3. Confirm that the origin of the shipment is authorized on the permit.
4. Confirm that the final destination of the package is to the same individual and address that appears on the permit, or is authorized in the permit conditions.
5. If your Plant Inspection Station address is not on the red and white label, and is not in the listed Destination Ports on the permit, then confirm there are no instructions or conditions on the Permit that **REQUIRES** delivery to one of the designated ports.
6. Confirm that the outer shipping package is secure and adequate to prevent escape/leakage of the enclosed organisms.

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**HIGH RISK INVERTEBRATES:** package should only be opened inside a sleeved cage designed for this purpose. Do not chill. Organisms may be intolerant of cold temperatures.

7. If a sleeved cage is available, then place the package inside the cage and do as follows:

- A. Open the package and examine the inner packages to confirm that the package(s) are sealed and secure and there has not been spillage or leakage or the biological contents.
- B. Examine the labels and other identifying features that identify the organisms in the package, and confirm they are authorized on the permit.
- C. Examine the organisms to make any other determinations and confirmations as desired.
- D. Repackage the organisms, securely sealing all inner packaging (including multiple layers of packaging as presented), providing effective barriers to escape of contents
- E. If the packaging is unsound or not secure, or if contents are found to have breached seals of primary and secondary inner packaging, then destroy the package.
- F. If any organisms appear to be not authorized on Permit (B. above), or you are unsure about any of the above items (A, B, or C), then do not clear the shipment. Contact the headquarters Permit Unit at 866/524-5421 (before 4:00 PM EST/EDST) or 301/768-1346 (after 4:00 PM EST/EDST) to determine subsequent action.

8. If all Items above (1 through 6 and A through C) are satisfactory, then release the package for delivery to the destination address. Remove the secondary shipping label from the external pouch and place on the outer package.

9. If a sleeve cage is not available, then do not open package. If items 1 through 6 above are satisfactory, then release the package for delivery to final destination.

#### PERMIT GUIDANCE

Receipt or use of foreign isolates or samples from countries under sanctions requires specific permission from the U.S. Department of Treasury (see <http://www.treasury.gov/resource-center/sanctions/Programs/Pages/Programs.aspx>) for current country listings.

This permit does not authorize importation, interstate movement, possession, and/or use of strains of genetically engineered regulated organisms (created by the use of recombinant DNA technology).

If an animal pathogen is identified in your shipment, to ensure appropriate safeguarding, please refer to [http://www.aphis.usda.gov/import\\_export/animals/animal\\_import/animal\\_imports\\_anproducts.shtml](http://www.aphis.usda.gov/import_export/animals/animal_import/animal_imports_anproducts.shtml)

This permit does not fulfill the requirements of other federal or state regulatory authorities. As appropriate, please contact the U.S. Environmental Protection Agency, the U.S. Fish and Wildlife

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Service, the U.S. Food and Drug Administration, the Centers for Disease Control and Prevention, the APHIS Veterinary Services unit, or your State's Department of Agriculture to ensure proper permitting.

If you are considering renewal of this permit, an application should be submitted at least 90 days prior to the expiration date of this permit to ensure continued coverage. Permits requiring containment facilities may take longer to process.

## PERMIT CONDITIONS

### AUTHORIZATIONS

This permit authorizes the importation of any life stages of various species of Hymenoptera, parasitoids of *Agrilus coxalis* (Goldspotted oak borer) collected in Mexico and Guatemala, to Dr Mark Hoddle, University of California, Department of Entomology, 900 University Ave., Riverside, CA 92521, to be received into the USDA APHIS approved containment facility at 3401 Watkins Ave, Riverside, CA 92521.

The imported material is authorized to also contain Oak tree sections with bark (branches or outer sections of wood and bark) with apparently and potentially parasitized stages of target species, *Agrilus coxalis*.

This permit further authorizes, subject to approval by Customs and Border Protection Inspectors, the "hand carry" transport of permitted articles by the permit holder, Dr Mark Hoddle, University of California, Riverside, CA, Dr. Thomas Coleman, USDA Forest Service, Forest Health Protection, Southern California Shared Service Area, 602 S. Tippecanoe St. San Bernardino, CA 92408, and Vanessa Lopez, University of California, Riverside, CA (see condition # 8 below for additional information).

This permit authorizes the possession and rearing of species of Hymenoptera imported under this permit as parasites of *Agrilus coxalis*, for research in the USDA APHIS inspected containment facility (Facility #93) at 3401 Watkins Ave, Riverside, CA 92521, subject to the additional conditions below.

### OTHER CONDITIONS

#### 1. AUTHORIZATIONS

This permit authorizes the importation of any life stages of various species of Hymenoptera, parasitoids of *Agrilus coxalis* (Goldspotted oak borer) collected in Mexico and Guatemala, to Dr Mark Hoddle, University of California, Department of Entomology, 900 University Ave., Riverside, CA 92521, to be received into the USDA APHIS approved containment facility at 3401

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Watkins Ave, Riverside, CA 92521.

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This permit authorizes the possession and rearing of species of Hymenoptera imported under this permit as parasites of *Agrilus coxalis*, for research in the USDA APHIS inspected containment facility (Facility #93) at 3401 Watkins Ave, Riverside, CA 92521, subject to the additional conditions below.

2. The permit holder must:
  - (a) comply with all requirements and permit conditions;
  - (b) maintain a valid permit so long as the regulated organisms are alive;
  - (c) not assign or transfer this permit to other persons;
  - (d) maintain a residence in the United States;
  - (e) safeguard and dispose of the regulated organisms during the term of this permit;
  - (f) take all necessary precautions to prevent the unauthorized release of regulated organisms (in the event of an unauthorized release, you must notify the permit unit);
  - (g) adequately mitigate environmental impacts resulting from unauthorized release of regulated organisms;
  - (h) contain any/all organisms not authorized under this permit;
  - (i) notify the permit unit of the receipt of unauthorized organisms;
  - (j) notify the permit unit if facilities are destroyed or decommissioned for any reason;
  - (k) maintain an official permanent work assignment at the address on this permit;
  - (l) notify the permit unit in advance of any change in your work assignment;
  - (m) destroy all regulated organisms prior to departure from the organization unless other arrangements are confirmed by the permit unit prior to parting, and;
  - (n) notify the permit unit of the destruction of regulated organisms.

Notifications to the permit unit must be made via 866-524-5421 or [pest.permits@aphis.usda.gov](mailto:pest.permits@aphis.usda.gov) within one business day of the event triggering a notification.

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3. Without prior notice and during reasonable hours, authorized PPQ and/or State regulatory officials shall be allowed to inspect the conditions associated with the regulated organisms authorized under this permit.
4. This permit authorizes the importation and possession of live organisms of *Agilus coxalis* and various species of parasitic Hymenoptera associated with it, as authorized for import under this permit. Not authorized under this permit are live cultures of other taxonomic groups from other hosts, or are from other source countries/continents, or received by way of any other permit, except as described below.

In addition, this permit authorizes continued possession/continued curation of only the live organisms (identified and unidentified) cultured or stored by the permit holder which were imported as authorized on the previous permit (P526P-11-00104 and P526P-15-02423), of which this is a "renewal". This permit does not authorize possession/continued curation of live regulated organisms obtained through any other permits besides this permit and P526P-11-00104, and P526P-15-02423. All other such live regulated organisms must be kept under separate USDA APHIS permit, or devitalized.

5. The permit holder must insure that all persons working with these regulated organisms
  - a) are trained in the importance of approved containment practices;
  - b) follow the Standard Operating Procedures (SOP) established for the facility and filed with the USDA APHIS Pest Permit Evaluation Unit at the time of facility inspection; and
  - c) are informed of these permit conditions and understand the requirement to adhere to these conditions and the SOP.

The permit holder shall document such training or familiarization with these permit conditions and the SOP's for the facility, by having copies of both dated and signed/initialed by all persons handling the regulated articles, and have such documentation made available to USDA APHIS upon request.

6. All packages for transport (including hand carry) must minimally consist of both inner (primary) and outer (secondary) packages securely sealed and escape-proof so that both are effective barriers to escape. More than one inner container may be placed in the secondary container. The inner package(s) shall contain all organisms and must be cushioned and sealed in such a way that it remains sealed during the shock, impact and pressure changes that may occur. The outer shipping container must be rigid and strong enough to withstand typical shipping conditions (dropping, stacking, impact from other freight, etc.) without opening.

In addition, Sections of wood with life stages of *A. coxalis* must be packaged in such a way and/or in a container that precludes adult stages of the beetle from chewing through. For example, the primary container must be made of metal or thick poly plastic (such as a large Rubbermaid container) that the beetle cannot physically chew through or attempt to chew through, OR wood sections must be positioned in such a way that the barrier of the primary container is not firmly

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against the surface of the bark.

Place a copy of this permit with all shipments (either inside or outside the package).

7. Upon issuance of this permit (i.e., a signed PPQ 526), you will need to request the PPQ Form 599 Red/White labels at least 5 days in advance. If you applied online using ePermits, you may request the labels using the My Shipments/Labels feature. Otherwise, send your request to [Redandwhitelabelrequest@aphis.usda.gov](mailto:Redandwhitelabelrequest@aphis.usda.gov). All email requests must come from the permit holder or appointee. If requested by the appointee the permit holder must be Ccd on all requests. Specify the approved port as listed on the permit and the total number of labels needed in multiples of 4. You may request additional labels the same way.

APHIS-PPQ will send you the labels by email as a pdf. You are responsible for printing this pdf to produce your individual bar coded import labels. You may email the pdf to your suppliers for them to print and attach to the shipment. You or your suppliers must print all labels in color. Failure to do so may result in refused entry or destruction of your package.

A label must be attached with clear tape to the exterior of each package being imported under this permit. The labels will include detailed shipping instructions. You are responsible for instructing your shipper to carefully follow these instructions. You are responsible for each import shipping label issued under this permit.

Enclose the following supplemental information in each shipment:

- Permittee Name
- Permit number
- Label number

Underlying packaging/wrapping must carry the address, billing, and any other information required to direct the shipment to its final destination (i.e., the permit holder's address; N.B., USDA APHIS does not defray any additional shipping costs incurred for transiting the shipment through an inspection station as the initial US destination).

8. EACH HAND CARRYING EVENT MUST BE PREAUTHORIZED BY USDA APHIS BY PROVIDING THE INFORMATION REQUIRED BELOW:

1. At least TWENTY days prior to each hand carry incident, the permit holder or designee must notify the PPQ Permit Compliance Officer by email ([redandwhitelabelrequest@aphis.usda.gov](mailto:redandwhitelabelrequest@aphis.usda.gov)) to provide specific information on the hand carry request form, which includes the hand carrier's identity, the anticipated first port of arrival into the United States, the actual date of arrival, the time, and, if travel is by airline, the flight number. The Compliance Officer will notify Customs and Border Protection (CBP) Agriculture Inspectors at the port of entry no more than ten days

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prior to the US arrival, to document and facilitate the entry of the organisms.

2. The hand carrier must indicate that living organisms are being imported under a USDA permit on the Customs Declaration form if such form is required at the port of entry.

3. At the port of entry, individuals carrying permitted organism should be prepared to present to CBP officers a copy of this permit and a copy of PPQ Form 599 Red/White label corresponding to the permit.

4. Inspection by CBP Officers must confirm that all hand carried articles are securely packaged as per the permit conditions. In the event that a problem is detected, the CBP officer may seize the package and require its movement to the nearest PPQ Inspection Station for processing, clearance or destruction. The permit holder will be responsible for all costs incidental to such forwarding.

5. After CBP confirmation and clearance through the first port of entry into the United States, hand carried organisms must be transported directly to the containment facility authorized in the permit.

6. Upon arrival at the facility, the PPQ Compliance Officer must be notified within 24 hours that the organisms arrived. Notification may be by fax (301-734-5392) or email (redandwhitelabelrequest@aphis.usda.gov). Notification must be by an independent third party (e.g. containment facility director, departmental chair, campus biosafety officer, etc.). The notification must include the permit number, label number, date of arrival, the specific organisms that were imported, their origin, and quantity. Failure to notify the PPQ compliance officer may result in loss of hand carry privileges. A PPQ inspector may also visit the facility to confirm the arrival of the package and its contents.

7. ONLY PERSON(S) WHOSE NAME(S) IS/ARE LISTED IN THE ISSUED PERMIT IS/ARE AUTHORIZED TO HAND CARRY.

Specifically authorized to perform hand carry transport under this permit are:

The Permit holder, Dr. Mark Hoddle, University of California, Department of Entomology, 900 University Ave., Riverside, CA 92521; PH: (951) 827-4714

Dr. Tom Coleman, USDA Forest Service, Forest Health Protection, Southern California Shared Service Area, San Bernardino Nat. Forest Supervisor's Office, 602 S. Tippecanoe Ave., San Bernardino, CA 92408; PH: (909) 382-2871;

Vanessa Lopez, University of California, Department of Entomology, 900 University Ave., Riverside, CA 92521; PH: (951) 827-4360

8. You will receive a pdf copy of the Form 599 Red/White labels for each hand carry event once you have submitted the required information. You can NOT use the red and white labels described above that are prepared for BONDED CARRIERS. If you use the PPQ Form 599 Red/White labels

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for bonded carrier while attempting to hand carry, the package will be seized by the Department of Homeland Security and destroyed.

9. An authorization to hand carry includes only the organism identified in the permit. Presence of unauthorized organisms in any packages on an individual authorized to hand carry is a permit violation.

**9. RECEIPT AND HANDLING OF IMPORTED PACKAGES:**

All imported packages/items received under this permit (including hand carry) shall only be opened within the designated containment space/facility (CF93).

After removal of organisms regulated under this permit, along with any necessary host organisms and host plant parts, all other foreign biological material and substrate, including soil, and foreign plant material, if any, as well as the primary shipping containers and any packing material media in the primary container, shall be properly disposed of or destroyed immediately. Procedure for proper disposal or destruction: all material must be double bagged and sterilized by autoclave, or such procedures as established in the SOP's filed during inspection of this facility.

Only authorized/permitted organisms may be retained as live organisms, plus any hosts and plant parts as needed for continued rearing and culture of the regulated organisms until transfer to lab-sourced material. Upon completion of isolations/transfers from imported material (i.e., soil, hosts) these imported materials shall likewise be properly disposed of or destroyed immediately, as described above.

Only secondary containers and packing materials suitable for re-use (such as coolers and icepacks) may be reused, and only after sterilization by autoclave, or with bleach or alcohol, etc., as per protocols established in the SOP's for this facility.

10. Live regulated organisms authorized for import under this permit are to be maintained only in the facility area(s) approved for containment of these organisms at the address indicated under the "Authorizations" above on this permit (CF 93).

Any distribution or other removal of live organisms regulated under this permit from the designated area of Containment Facility 93 requires a separate prior authorization from APHIS PPQ, that is, a separate PPQ 526 permit from USDA APHIS, or amendment to this permit.

All life stages of regulated organisms authorized for import and culture/curation under this permit must be effectively devitalized prior to removal following laboratory protocol, including by autoclave or preservation in alcohol.

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11. All operations must be consistent with information submitted in association with this Containment Facility (CF 93) including the most recent Standard Operating Procedures (SOP's) submitted for the Facility, and any information submitted in association with the inspection of this Containment Facility. This includes, minimally, maintenance of restricted access to unauthorized persons of building and or approved containment areas (key, key card or code), and/or restricted access to unauthorized persons of growth chambers and other equipment (for example by lock) where organisms will be kept, as well as proper/prescribed maintenance of the Autoclave and/or other equipment used to devitalize or sterilize waste.
12. A separate authorization from USDA APHIS (a new PPQ 526 permit) is required for possession/maintenance of live regulated organisms received under this permit beyond the expiration of this permit. Otherwise, all regulated organisms received under this permit must be devitalized prior to expiration of this permit.

This Permit and these conditions are geared for exploration/investigation activities with organisms of unknown or unconfirmed identity. Species which have been identified or named and will be subject to continuing study and research are more appropriately permitted under a continued curation or separate importation permit specifically for those species. In those circumstances a separate application may be made at any time before renewal of this permit.

### END OF PERMIT CONDITIONS

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